



STATE OF UTAH

Office of the Legislative Auditor General

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AUDITOR GENERAL

Lead & Review Committee Status Update: May 2020 – December 2021

This report shows overwhelming compliance with the referral policy for audits to be heard—with only one audit assigned to a committee not yet being heard. This report also shows which committees heard each audit from May 2020 through December 2021. Note that highlights of action taken and legislation coming from audits can be found in our General Session Impact report issued at the end of each general session. The Legislative Audit Subcommittee intends that with each audit it refers, legislative committees be designated to hear the audit and respond back to the Audit Subcommittee regarding the audit recommendations. For legislative recommendations, this can take the form of draft legislation, further deliberation or concluding sufficient action has taken place. For non-legislative recommendations, the Audit Subcommittee asks, that as part of the committee's oversight role, they determine whether appropriate action is being taken to address the audit findings. (Audit Subcommittee Policy 8.7).

There have been 35 audits released from May 2020 to December 2021. Three of these audits will be referred at the December 7, 2021 meeting of the Audit Subcommittee. Of the remaining 32 audits, there were seven audits **not** referred to committees due to their quick turnaround, limited-scope nature. So, of the remaining 25 audits referred:

- 22 have been heard by at least one legislative committee (Lead or Review)—many of which took legislative action.
- 2 were assigned after the November 2021 interim committee agendas were already set and can still be heard in 2022.
- 1 was assigned to a committee and **not yet heard** (#2021-B: Six Month Follow-Up 911 Call Answer Times for VECC and Salt Lake City 911)

Audit	Lead Committee	Heard	Review Committee	Heard
2021-17 A Performance Audit of Healthcare in State Prisons Comment: Pending assignment by motion at December 7, 2021 Audit Subcommittee meeting.	Executive Offices and Criminal Justice Appropriations Subcommittee	Pending	Law Enforcement and Criminal Justice Interim Committee	Pending
2021-16 A Limited Review of Warrants and Uncollected Fines and Fees Comment: Was assigned at November 16, 2021 Audit Subcommittee meeting after interim agendas were set.	Executive Offices and Criminal Justice Appropriations Subcommittee		Judiciary Interim Committee Law Enforcement and Criminal Justice Interim Committee	

Audit	Lead Committee	Heard	Review Committee	Heard
2021-15 An In-Depth Follow-Up of the State's Career Service System Comment: Was assigned at November 16, 2021 Audit Subcommittee meeting after interim agendas were set.	Government Operations Interim Committee		Infrastructure and General Government Appropriations Subcommittee	
2021-14 An In-Depth Follow-Up of the Oversight and Management of Utah's Homeless Services System	Social Services Appropriations Subcommittee		Economic Development and Workforce Services Interim Committee Political Subdivisions Interim Committee	11/17/21
2021-13 A Performance Audit of Teacher Retention Within Utah's Public Education System Comment: Pending assignment by motion at December 7, 2021 Audit Subcommittee meeting.	Education Interim Committee	Pending	Public Education Appropriations Subcommittee	Pending
2021-12 A Performance Audit of Social Services Agencies' Performance Measures	Social Services Appropriations Subcommittee	10/19/21	Health and Human Services Interim Committee	Bumped
2021-11 A Performance Audit of the Culture and Grant Management Process of the Department of Health	Social Services Appropriations Subcommittee	10/19/21	Health and Human Services Interim Committee	Bumped
2021-10 A Performance Audit of the Division of Services for People with Disabilities	Social Services Appropriations Subcommittee	10/19/21	Health and Human Services Interim Committee	
2021-09 A Performance Audit of Utah's Charter School Governance Structure	Education Interim Committee	11/17/21	Public Education Appropriations Subcommittee	
2021-08 An In-Depth Budget Review of the Department of Agriculture and Food	Natural Resources, Agriculture, and Environmental Quality Appropriations Subcommittee	10/19/21		
2021-07 A Follow-Up Audit of the Safety and Security Within Local Homeless Resource Centers	Economic Development and Workforce Services Interim Committee	5/18/21	Health and Human Services Interim Committee	
2021-06 A Limited Review of the Utah Rural Jobs Act	Revenue and Taxation Interim Committee	6/16/21	Business, Economic Development, and Labor Appropriations Subcommittee	

Audit	Lead Committee	Heard	Review Committee	Heard
2021-05 A Performance Audit of the Division of Consumer Protection	Business and Labor Interim Committee	6/16/21	Business, Economic Development, and Labor Appropriations Subcommittee	
2021-04 A Performance Audit of the Utah State Board of Education's Internal Governance	Education Interim Committee	9/15/21	Public Ed Appropriations Subcommittee Government Operations Interim Committee	
2021-03 A Limited Review of Public Education's COVID-19 Soft Closures (February 2021)	Not assigned		Not assigned	
2021-02 A Performance Audit of the Department of Agriculture and Food's Brand Inspection Program	Natural Resources, Agriculture, and Environmental Quality Appropriations Subcommittee		Natural Resources, Agriculture, and Environment Interim Committee	5/18/21
2021-01 In-Depth Follow-Up of an In-Depth Budget Review of the Department of Natural Resources	Not Assigned		Not Assigned	
2021-C Twelve Month Follow-Up of 911 Call Answer Times for VECC and Salt Lake City 911 Comment: Pending assignment by motion at December 7, 2021 Audit Subcommittee meeting.	Executive Office and Criminal Justice Appropriations Subcommittee	Pending	Public Utilities, Energy, and Technology Interim Committee	Pending
2021-B Six Month Follow-Up of 911 Call Answer Times for VECC and Salt Lake City 911	Executive Offices and Criminal Justice Appropriations Subcommittee	Not heard		
2021-A Decline in Traffic Violations Contributed to Lower Fines and Surcharges	Not Assigned		Not Assigned	
2020-12 A Performance Audit of Methadone Clinic Oversight	Social Services Appropriations Subcommittee	1/26/21	Health and Human Services Interim Committee	
2020-11 A Performance Audit of Public Education's Governance Structure	Education Interim Committee	6/16/21	Public Education Appropriations Subcommittee Government Operations Interim Committee	

Audit	Lead Committee	Heard	Review Committee	Heard
2020-10 A Performance Audit of Court Fines and Surcharges	Executive Offices and Criminal Justice Appropriations Subcommittee	10/19/2010	Judiciary Interim Committee	
2020-09 A Performance Audit of Information Sharing in the Criminal Justice System	Executive Offices and Criminal Justice Appropriations Subcommittee	10/19/20	Law Enforcement and Criminal Justice Interim Committee	10/20/20
			Judiciary Interim Committee	10/21/20
2020-08 A Performance Audit of the Justice Reinvestment Initiative	Executive Offices and Criminal Justice Appropriations Subcommittee	10/19/20	Law Enforcement and Criminal Justice Interim Committee	10/20/20
			Judiciary Interim Committee	10/21/20
2020-07 A Performance Audit of the Office of State Debt Collection	Government Operations Interim Committee		Infrastructure and General Government Appropriations Subcommittee	2/9/20
2020-06 An In-Depth Follow-Up of 911 Audits and Review of 911 Staffing	Executive Offices and Criminal Justice Appropriations Subcommittee		Public Utilities, Energy, and Technology Interim Committee	9/15/20
2020-05 A Performance Audit of the Division of Air Quality	Natural Resources, Agriculture, and Environmental Quality Appropriations Subcommittee	10/19/20	Natural Resources, Agriculture, and Environment Interim Committee	10/20/20
2020-04 An In-Depth Budget Review of the Department of Environmental Quality	Natural Resources, Agriculture, and Environmental Quality Appropriations Subcommittee	10/19/20	Natural Resources, Agriculture, and Environment Interim Committee	10/20/20
2020-03 A Performance Audit of the Permanent Community Impact Fund	Social Services Appropriations Subcommittee	8/17/20	Economic Development and Workforce Services Interim Committee	8/18/20
2020-02 A Performance Audit of Medicaid's Pharmacy Benefit Oversight	Social Services Appropriations Subcommittee	8/17/20	Health and Human Services Interim Committee	
2020-H A Limited Review of State Emergency Contracting Practices	Not Assigned		Not Assigned	
2020-G Survey of Drug Court Sanctions - Price, Utah	Not Assigned		Not Assigned	
2020-F A Limited Review of COVID-19 Test Turnaround Times	Not Assigned		Not Assigned	

Audit

2020-E
Limited Review of the Individualized Education Program (IEP) Intake
Process

Lead Committee

Not Assigned

Heard**Review Committee**

Not Assigned

Heard