



STATE OF UTAH

Office of the Legislative Auditor General

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AUDITOR GENERAL

Lead and Review Committee Status Update

The Legislative Audit Subcommittee intends that with each audit it refers, legislative committees be designated to hear the audit and respond back to the Audit Subcommittee regarding the audit recommendations. For legislative recommendations, this can take the form of draft legislation, further deliberation or concluding sufficient action has taken place. For non-legislative recommendations, the Audit Subcommittee asks, that as part of the committee's oversight role, they determine whether appropriate action is being taken to address the audit findings. (Policy 8.7). This report shows overwhelming compliance with the referral policy for audits to be heard. (Highlights of action taken and legislation coming from audits can be found in our General Session Impact report.) Specifically, this report shows which committees heard each audit since January 2019, and instances where committees still need to have an audit on an agenda. Of the 18 audits referred:

- Thirteen have been heard by at least one legislative committee, many of which took legislative action
- Two have been assigned to a 2020 interim committee, waiting for the committee to meet
- One was on a committee agenda and not heard due only to time constraints
- Two were assigned to committees and not yet heard (#2019-A: A Limited Review of Allegations Regarding UDOT Noise Barrier in Summit County and #2019-C – A Limited Review of RISE Testing Issues)

Audit	Lead Committee	Heard	Review Committee	Heard
2020-01 An In-Depth Follow-Up of the University of Utah Athletics Department Comments:	Not Assigned		Not Assigned	
ILR 2020-D Various COVID-19 Therapies Are Being Utilized Through Clinical Trials Comments:	Not Assigned		Not Assigned	
ILR 2020-C Messaging about Excess Testing Capacity Can Improve Comments:	Not Assigned		Not Assigned	
ILR 2020-B COVID-19 Testing Levels and Positive Test Rates among Health Districts Comments:	Not Assigned		Not Assigned	
ILR 2020-A A Survey to Determine Whether Utah Unemployment Insurance Overpayment Practices Contain Systemic Problems Comments:	N/A		N/A	

Audit	Lead Committee	Heard	Review Committee	Heard
2019-15 A Performance Audit of Utah Communications Authority and 911 Operations	Executive Offices and Criminal Justice Appropriations Subcommittee	2/4/2020	Senate Transportation, Public Utilities, Energy, and Technology Committee	2/20/2020
			House Public Utilities, Energy, and Technology Committee	No
			Public Utilities, Energy, and Technology Interim Committee	No
2019-14 A Performance Audit of Public Education Reporting Requirements	Education Interim Committee	No	Public Education Appropriations Subcommittee	No
Comments:				
2019-13 A Performance Audit of PEHP's Pharmacy Benefit Manager	Retirement and Independent Entities Interim Appropriations Subcommittee	1/27/20	Retirement and Independent Entities Interim Committee	
Comments: Social Services Appropriations Subcommittee heard on 2/4/2020				
2019-12 A Performance Audit of Public Education Assessment Data	Education Interim Committee	No	Public Education Appropriations Subcommittee	No
Comments: Education Interim Committee has not yet met				
2019-11 A Performance Audit of Utah's Oil and Gas Program	Natural Resources, Agriculture, and Environmental Quality Appropriations Subcommittee	1/29/2020	Natural Resources, Agriculture, and Environment Interim Committee	No
Comments:				
2019-10 An In-Depth Budget Review of the Department of Natural Resources	Natural Resources, Agriculture, and Environmental Quality Appropriations Subcommittee	10/15/19	Natural Resources, Agriculture, and Environment Interim Committee	No
Comments:				
2019-09 A Performance Audit of Same Day Voter Registration Controls	Government Operations Interim Committee	No	N/A	
Comments: Was on the 11/20/19 agenda but was bumped due to time constraints				
2019-08 A Performance Audit of Child Welfare During Divorce Proceedings	Child Welfare Legislative Oversight Panel	9/19/2019	N/A	
Comments:				
2019-07 A Performance Audit of State and Higher Education Building Costs	Infrastructure and General Government Appropriations Subcommittee	2/11/2020	Higher Education Appropriations Subcommittee	2/19/2020
Comments:				

Audit	Lead Committee	Heard	Review Committee	Heard
2019-06 A Performance Audit of the University of Utah's Laboratory Safety Practices Comments:	Higher Education Appropriations Subcommittee	10/15/19	Education Interim Committee	
2019-05 A Performance Audit of the Repayment Feasibility of the Lake Powell Pipeline Comments:	Natural Resources, Agriculture, and Environment Interim Committee	9/18/19	Natural Resources, Agriculture, and Environmental Quality Appropriations Subcommittee	
2019-04 A Performance Audit of Tooele County's Sale of the Utah Motorsports Campus Comments:	Infrastructure and General Government Appropriations Subcommittee	6/18/19	Political Subdivisions Interim Committee	
2019-03 A Performance Audit of Counties' Use of Tourism Promotion Funding Comments: Business and Labor Interim Committee heard on 10/16/19	Economic Development and Workforce Services Interim Committee	5/15/19	Business, Economic Development, and Labor Appropriations Subcommittee	No
2019-02 A Performance Audit of the University Neuropsychiatric Institute and Crisis Services Comments:	Public Education Appropriations Subcommittee	8/20/2019	Social Services Appropriations Subcommittee	8/20/2019 2/12/2020
2019-01 A Performance Audit of Waste Tire Recycling Comments:	House Natural Resources, Agriculture, and Environment	No	Health and Human Services Interim Committee	No
ILR 2019-C A Limited Review of RISE Testing Issues Comments:	Senate Natural Resources, Agriculture, and Environment	No	Natural Resources, Agriculture, and Environment Interim Committee	5/15/2020
ILR 2019-B A Limited Follow Up of Audit 2016-04 – A Performance Audit of the Department of Financial Institution's Regulation of the Payday Loan Industry Comments:	Education Interim Committee	No	Public Education Appropriations Subcommittee	No
ILR 2019-A A Limited Review of Allegations Regarding UDOT Noise Barrier in Summit County Comments:	Business and Labor Interim Committee	10/16/19	N/A	
	Infrastructure and General Government Appropriations Subcommittee	No	Transportation Interim Committee	No